

# LING/C SC 581:

## Advanced Computational Linguistics

Lecture 13

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# Today's Topics

- Homework 7
- (CFG) Context-Free Parsing:
  - Dotted rules
  - the Shift Reduce Parsing Algorithm

# Homework 7

- Contrast 1 vs 2 vs 3:
  1. John knew an untrue story about *him*.
  2. John listened to an untrue story about *him*.
  3. John overheard an untrue story about *him*.
- Q1:
  - Do you get a difference in the possible antecedents of *him* for 1 vs 2 vs 3?
  - Explain. You may want to consider some variants:
    - e.g. when the prior sentence is *Bill is an honest man*.
    - e.g. when pronoun *him* is replaced by the reflexive *himself*

# Homework 7

- Contrast 1 vs 2 vs 3:
  1. John knew an untrue story about *him*.
  2. John listened to an untrue story about *him*.
  3. John overheard an untrue story about *him*.
- Q2:
  - type the sentence into ChatGPT.
  - **Note:** use a fresh chat for each one.
  - Add a question: ask it (*in at least two different ways*) who is meant by the pronoun?
  - *Evaluate whether ChatGPT gets the same contrast as you.*

# Homework 7

- Contrast 1 vs 2 vs 3:
  1. John knew an untrue story about *him*.
  2. John listened to an untrue story about *him*.
  3. John overheard an untrue story about *him*.
- Q3:
  - Prefix each sentence with *Bill is an honest man*.
  - Then add the sentence into ChatGPT.
  - **Note:** use a fresh chat for each one.
  - Ask it (*in at least two different ways*) who the pronoun is?
  - *Evaluate whether ChatGPT gets the same contrast as you.*

# Homework 7

- Submit to [sandiway@arizona.edu](mailto:sandiway@arizona.edu)
- **SUBJECT**: 581 Homework 7 *YOUR NAME*
- One PDF file (for grading)
  - include your ChatGPT screenshots in your answer
- Deadline:
  - *Spring Break next week, but perhaps let's stick to our usual schedule*
  - midnight Saturday
  - graded Sunday

# Dotted Rules

Dot (●) indicates where we are in a grammar rule

- Examples:

- S → ● NP VP      [the, man, saw, the, dog]
- S → NP ● VP      [saw, the, dog]
- S → NP VP ●      []

- VP → ● V NP      [saw, the, dog]
- VP → V ● NP      [the, dog]
- VP → V NP ●      []

- NP → ● DT NN      [the, man, saw, the, dog]
- NP → DT ● NN      [man, saw, the, dog]
- NP → DT NN ●      [saw, the, dog]

# Bottom-Up Parsing

- *We've already seen the CKY algorithm*
- **LR(0) parsing**
  - An example of **bottom-up** tabular parsing
  - 0 = zero symbols of lookahead, generally  $N$  (*a bit like the left corner idea*)
- Similar to the **top-down Earley algorithm** described in the textbook in that it uses the idea of dotted rules
- *finite state automata revisited...*

# Tabular Parsing

- **e.g. LR( $k$ )** (Knuth, 1960)
  - *invented for efficient parsing of programming languages*
  - **disadvantage:** a potentially huge number of states can be generated when the number of rules in the grammar is large
  - *can be applied to natural languages* (Tomita 1985)
  - build a Finite State Automaton (FSA) from the grammar rules, then add a stack
- **tables encode the grammar (FSA)**
  - grammar rules are compiled, we no longer interpret the grammar rules directly
- **Parser = Table + Push-down Stack**
  - table entries contain instruction(s) that tell what to do at a given state
    - ... *possibly factoring in lookahead*
  - stack data structure deals with maintaining the history of computation and recursion

# Tabular Parsing

- **Shift-Reduce Parsing**

- an example is **LR(0)**

- left to right = LR

- **bottom-up**

- (0) no lookahead (*input word*)

- **Three possible machine actions**

- **Shift**: read an input word

- i.e. advance current input word pointer to the next word

- **Reduce**: complete a nonterminal

- i.e. complete parsing a grammar rule

- **Accept**: complete the parse

- i.e. start symbol (e.g. S) derives the terminal string

# Tabular Parsing

- **LR(0) Parsing**

- $L(G) = LR(0)$

- *i.e. the language generated by grammar  $G$  is  $LR(0)$*

- if there is a unique instruction per state

- (or no instruction = error state)

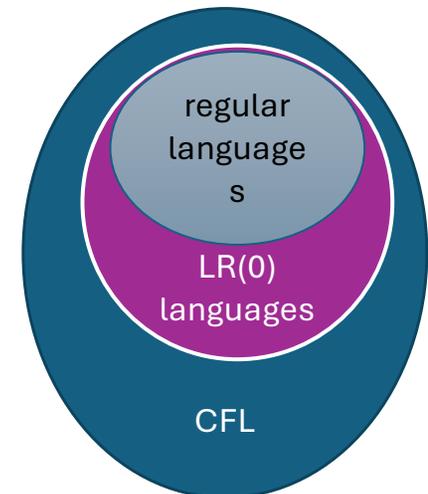
- $LR(0)$  is a proper subset of context-free languages (CFL)



deterministic!

- **note**

- human language tends to be ambiguous
  - there are likely to be multiple or conflicting actions per state
  - *if we are using Prolog, we can let Prolog's computation rule handle it*
    - *via Prolog backtracking*



# Tabular Parsing

- **Dotted rule notation**

- “dot” used to track the progress of a parse through a phrase structure rule

- **Examples:**

- $vp \rightarrow vbd \ . \ np$

means we've seen  $v$  and predict  $np$

- $np \rightarrow \ . \ dt \ nn$

means we're predicting a  $dt$  (followed by  $nn$ )

- $vp \rightarrow vp \ pp \ .$

means we've completed a  $vp$  (with  $pp$  modification)

- **state**

- a set of dotted rules encodes the state of the parse
- set of dotted rules = name of the state

- **kernel**

- $vp \rightarrow vbd \ . \ np$

- $vp \rightarrow vbd \ .$

- **completion** (of predict NP)

- $np \rightarrow \ . \ dt \ nn$

- $np \rightarrow \ . \ nnp$

- $np \rightarrow \ . \ np \ cp$

# Tabular Parsing

compute all possible states through advancing the dot

- **Example:**

- (Assume *dt* is next in the input)

- `vp --> vbd . np`
- `vp --> vbd .` (eliminated)
- `np --> dt . nn`
- `np --> . nnp` (eliminated)
- `np --> . np cp`

# Tabular Parsing

- Dotted rules

- Example:

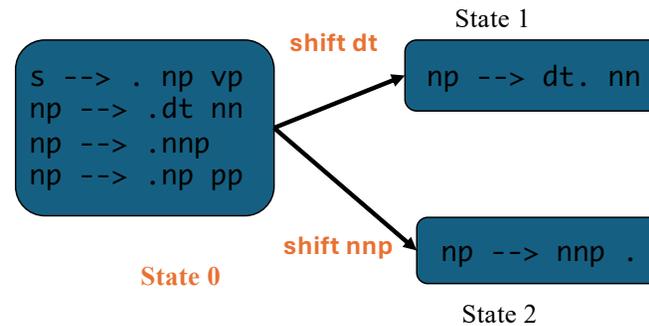
- State 0:

- $s \rightarrow \cdot np \ vp$
    - $np \rightarrow \cdot dt \ nn$
    - $np \rightarrow \cdot nnp$
    - $np \rightarrow \cdot np \ pp$

- possible actions

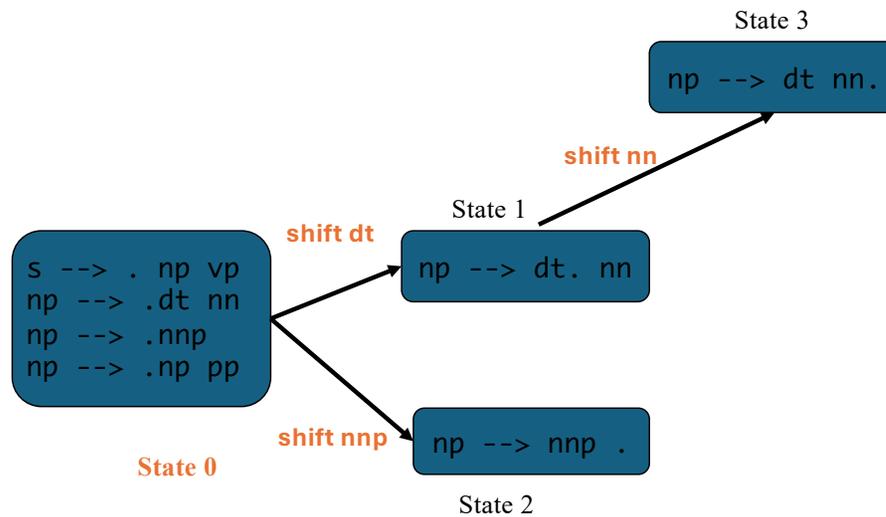
- **shift** *dt* and go to new state
    - **shift** *nnp* and go to new state

- Creating new states



# Tabular Parsing

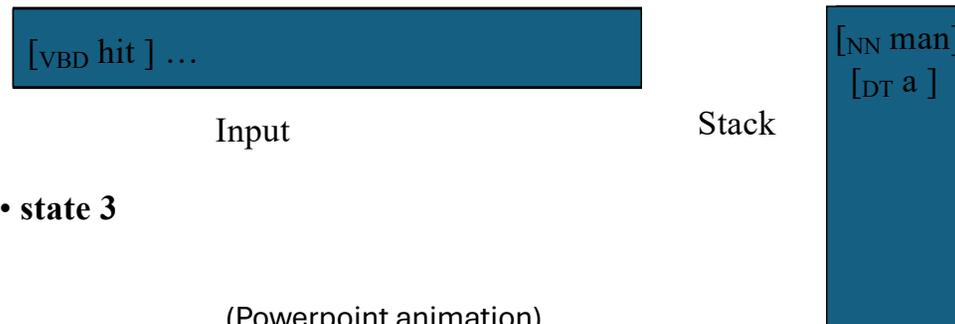
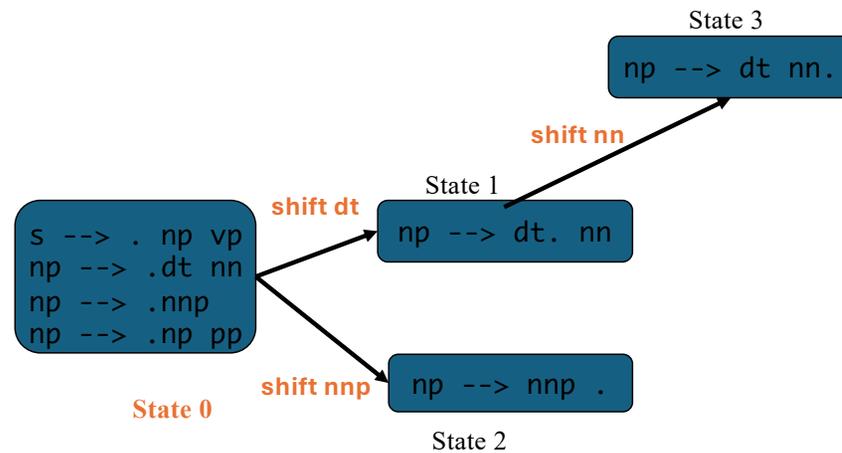
- **State 1: Shift *nn*, goto State 3**



# Tabular Parsing

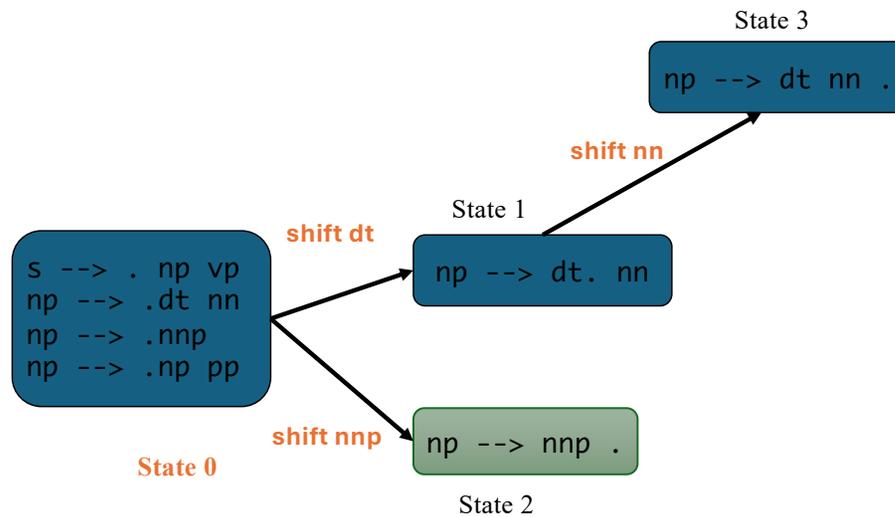
- **Shift**

- take input word, and
- put it on the stack



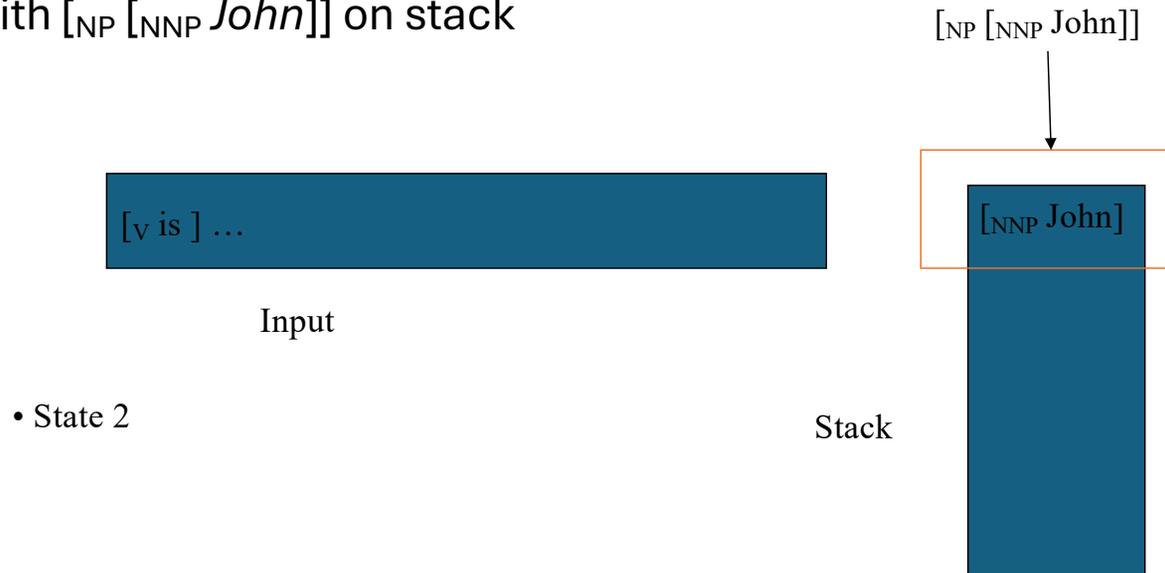
# Tabular Parsing

- **State 2:** Reduce action  $np \rightarrow nnp$ .



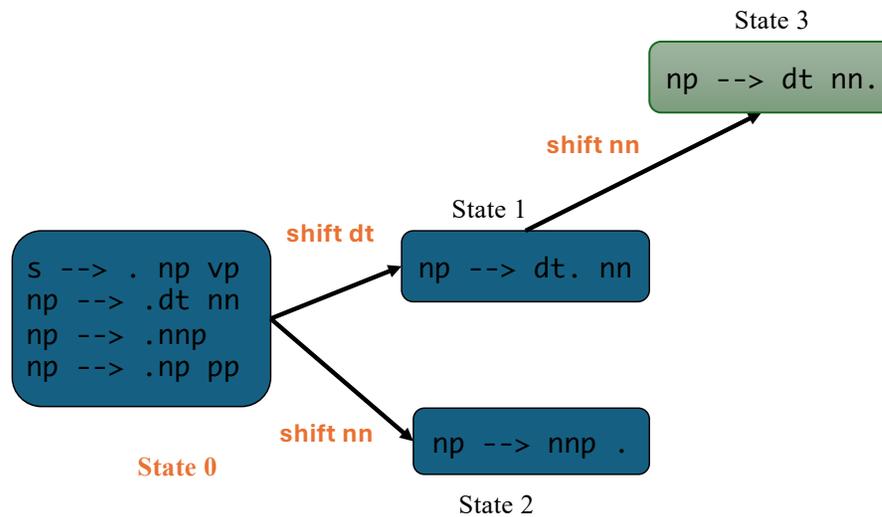
# Tabular Parsing

- **Reduce** NP  $\rightarrow$  NNP .
  - pop  $[\text{NNP } \textit{John}]$  off the stack, and
  - replace with  $[\text{NP } [\text{NNP } \textit{John}]]$  on stack



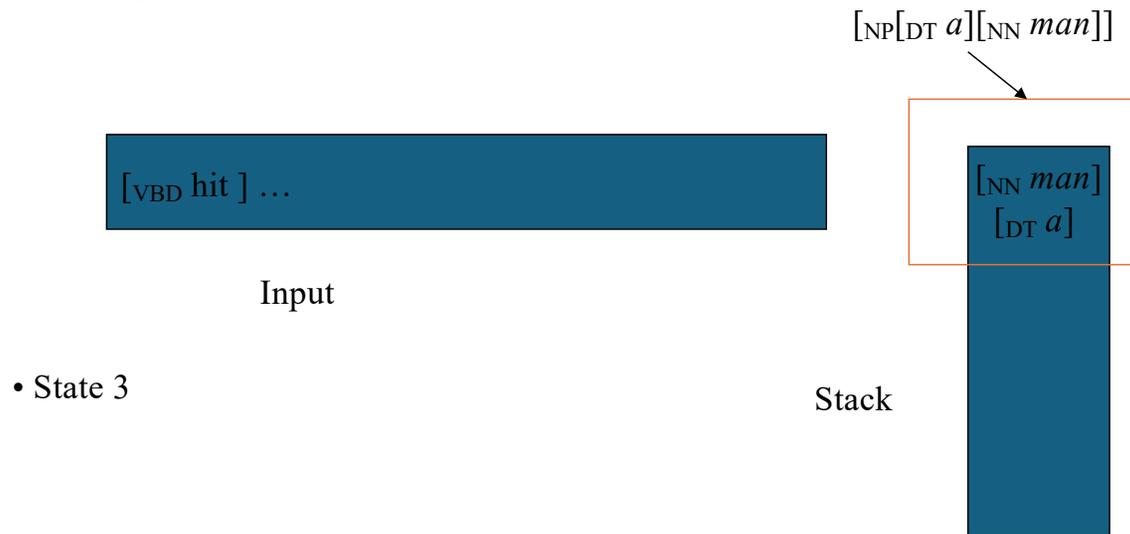
# Tabular Parsing

- **State 3:** Reduce  $np \rightarrow dt\ nn$ .



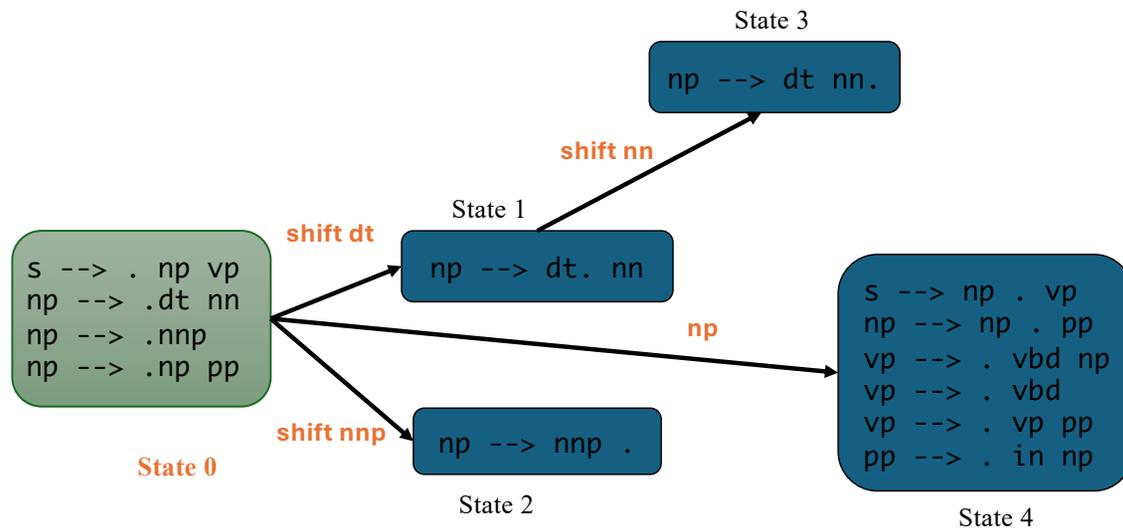
# Tabular Parsing

- **Reduce** NP  $\rightarrow$  DT NN .
  - pop  $[\text{NN } man]$  and  $[\text{DT } a]$  off the stack
  - replace with  $[\text{NP}[\text{DT } a][\text{NN } man]]$



# Tabular Parsing

- **State 0:** Transition NP



# Tabular Parsing

- **for both states 2 and 3**

- NP -> NNP . (reduce NP -> NNP)
- NP -> DT NN . (reduce NP -> DT NN)

- **after Reduce NP operation**

- **goto** state 4

- **notes:**

- states are unique
- grammar is finite
- procedure generating states must terminate since the number of possible dotted rules is finite
- no left recursion problem (*bottom-up means input driven*)

# Tabular Parsing

- It's a table! (= **FSA**)

State	Action	Goto
0	Shift DT Shift NNP	1 2
1	Shift NN	3
2	Reduce NP --> NNP	4
3	Reduce NP --> DT NN	4
4	...	...

# Tabular Parsing

- **Observations**

1. ***table is sparse***

- **Example:**

- State 0, Input: [<sub>VBD</sub> ..]
- parse fails immediately

2. ***in a given state, input may be irrelevant***

- **Example:**

- State 2 (there is no shift operation)

3. ***there may be action conflicts***

- **Example:**

- State 0: shift DT, shift NNP (*only if word is ambiguous...*)

- **more interesting cases**

- shift-reduce and reduce-reduce conflicts

# Tabular Parsing

- **finishing up**

- an extra initial rule is usually added to the grammar

- $SS \rightarrow S \cdot \$$

- $SS$  = start symbol

- $\$$  = end of sentence marker

- **input:**

- *milk is good for you \$*

- **accept action**

- discard  $\$$  from input

- return element at the top of stack as the parse tree

# LR Parsing in Prolog

- **Recap**

- **finite state machine technology + a stack**

- *each state represents a set of dotted rules*

- **Example:**

- $s \rightarrow \cdot np\ vp$

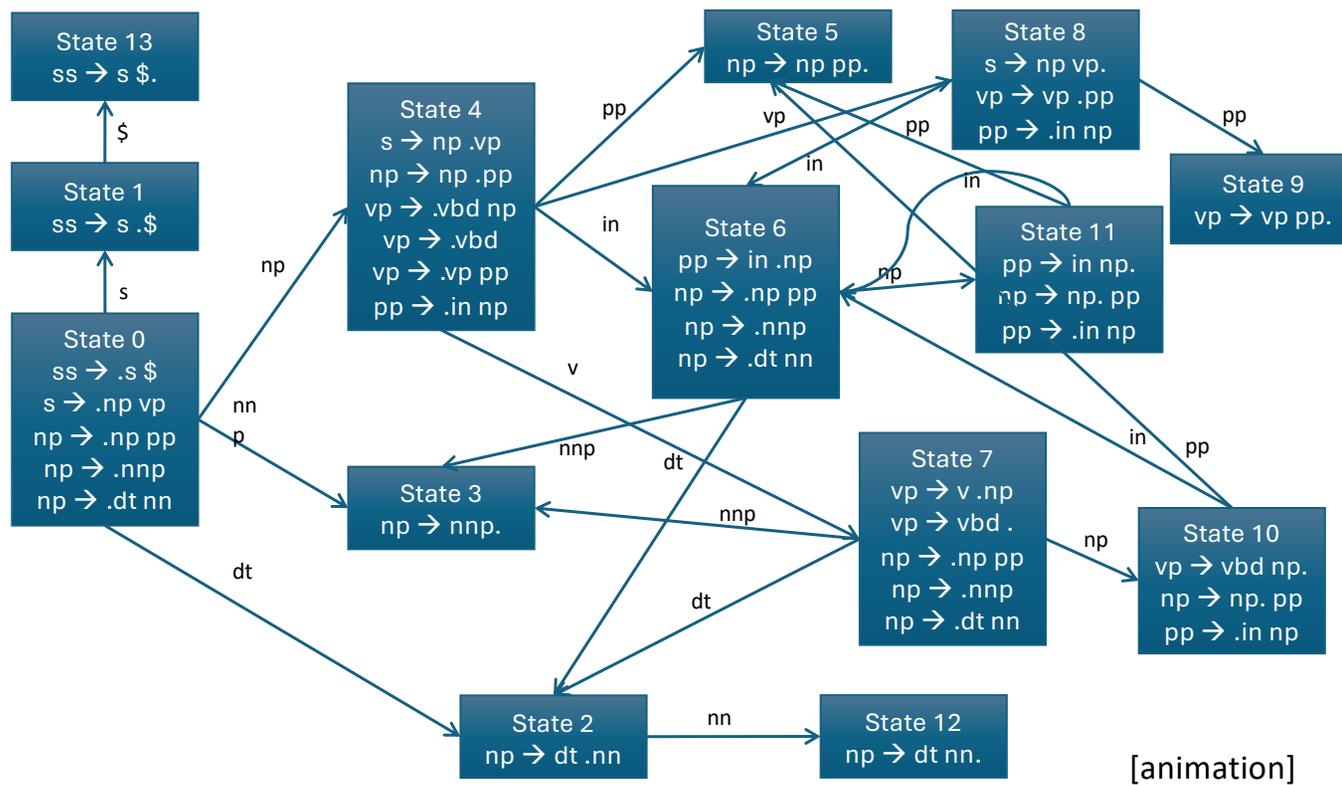
- $np \rightarrow \cdot dt\ nn$

- $np \rightarrow \cdot nnp$

- $np \rightarrow \cdot np\ pp$

- we transition, i.e. move, from state to state by advancing the “dot” over the possible terminal and nonterminal symbols

# LR State Machine



# Build Actions

- **two main actions**

- ***Shift***

- move a word from the input onto the stack
    - Example:
      - *read a word with POS tag d*
      - np --> .dt nn

- ***Reduce***

- build a new constituent
    - Example:
      - *build a new NP*
      - np --> dt nn.

# Lookahead

- **LR(1)**
  - a shift/reduce tabular parser
  - *using one (terminal) lookahead symbol*
  - *(like the left corner idea)*
- **decide on whether to take a reduce action depending on**
  - *state x next input symbol*
    - **Example**
      - *select the valid reduce operation consulting the next word*
      - *cf. LR(0): select an action based on just the current state*

# Lookahead

- **potential advantage**

- the input symbol may partition the action space
- resulting in fewer conflicts
  - *provided the current input symbol can help to choose between possible actions*

- **potential disadvantages**

1. larger finite state machine
  - more possible dotted rule/lookahead combinations than just dotted rule combinations
2. might not help much
  - depends on the grammar
3. more complex (off-line) computation
  - building the LR machine gets more complicated

# Lookahead

- **formally**

- $X \rightarrow \alpha.Y\beta, L$ 
  - $L$  = lookahead set
  - $L$  = set of possible terminals that can follow  $X$
  - $\alpha, \beta$  (possibly empty) strings of terminal/non-terminals

- **Example:**

- *State 0*
  - $ss \rightarrow .s \ \$$   $[[ ]]$
  - $s \rightarrow .np \ vp$   $[\$]$
  - $np \rightarrow .dt \ nn$   $[in, vbd]$
  - $np \rightarrow .nnp$   $[in, vbd]$
  - $np \rightarrow .np \ pp$   $[in, vbd]$

# Lookahead

- **Central Idea**

- *for propagating lookahead in state machine*
- if dotted rule is complete,
- **lookahead** informs parser about what the next terminal symbol should be

- **Example:**

- $NP \rightarrow Dt\ NN. , L$
- *reduce by NP rule **only if** current input symbol is in lookahead set  $L$*

# LR Parsing

- **In fact**
  - LR-parsers are generally acknowledged to be the fastest parsers
    - *especially when combined with the **chart technique** (table: dynamic programming)*
  - **reference**
    - (Tomita, 1985)
  - **textbook**
    - **Earley's algorithm**
    - uses chart
    - but follows the dotted-rule configurations **dynamically at parse-time**
    - instead of ahead of time (*so slower than LR*)